

UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK

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**In re** : **Chapter 11**  
**LEHMAN BROTHERS HOLDINGS INC., et al.,** : **Case No. 08-13555 (SCC)**  
**Debtors.** : **(Jointly Administered)**  
: **Ref. Docket No. 60945-60947,**  
: **60949-60950**  
:  
----- X -----

**AFFIDAVIT OF SERVICE**

STATE OF CONNECTICUT )  
 ) ss.:  
COUNTY OF MIDDLESEX )

ANGHARAD BOWDLER, being duly sworn, deposes and says:

1. I am employed as a Director of Client Services by Epiq Corporate Restructuring, LLC, with their principal office located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On December 18, 2020, I caused to be served the “Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4),” dated December 18, 2020, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.

3. All envelopes utilized in the service of the foregoing contained the following legend:  
“LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF  
ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT.”

/s/ Angharad Bowdler  
Angharad Bowdler

Sworn to before me this  
22<sup>nd</sup> day of December, 2020

/s/ Amy E. Lewis

Notary Public, State of Connecticut  
Acct. No. 100624  
Commission Expires: 8/31/2022

## **Exhibit A**

In re

LEHMAN BROTHERS HOLDINGS INC., et al.,  
Debtors.

Chapter 11 Case No.

08-13555 (SCC)

(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAR(23) MAILID \*\*\* 000188551438 \*\*\* LBH TRFNTC (MERGE2, TXNUM2) 4000195008



BANC OF AMERICA CREDIT PRODUCTS, INC.  
TRANSFEROR: EMPYREAN INVESTMENTS, LLC  
C/O BOA MERRILL LYNCH; A.JAKIC & R.WEDDLE  
BANK OF AMER TOWER, 3 FL; ONE BRYANT PARK  
NEW YORK, NY 10036

Please note that your claim # 11306-64 in the above referenced case and in the amount of \$10,094,130.52 allowed at \$10,000,000.00 has been transferred (unless previously expunged by court order)

HBK MASTER FUND, L.P.  
TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC.  
C/O HBK SERVICES LLC  
2300 NORTH FIELD ST, STE 2200  
DALLAS, TX 75201

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 60950 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 12/18/2020

Vito Genna, Clerk of Court

/s/Andrea Speelman

Epiq Corporate Restructuring, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on December 18, 2020.

## **Exhibit B**



Claim Name	Address Information
BANC OF AMERICA CREDIT PRODUCTS, INC.	R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: EMPYREAN INVESTMENTS, LLC, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: EMPYREAN INVESTMENTS, LLC, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: EMPYREAN INVESTMENTS, LLC, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: EMPYREAN INVESTMENTS, LLC, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: EMPYREAN INVESTMENTS, LLC, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: EMPYREAN INVESTMENTS, LLC, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: EMPYREAN INVESTMENTS, LLC, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
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BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: EMPYREAN INVESTMENTS, LLC, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC., ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC., ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
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EMPYREAN INVESTMENTS, LLC	TRANSFEROR: MERRILL LYNCH CREDIT PRODUCTS, LLC, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: MERRILL LYNCH CREDIT PRODUCTS, LLC, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067

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EMPYREAN INVESTMENTS, LLC	TRANSFEROR: MERRILL LYNCH CREDIT PRODUCTS, LLC, ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
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**Total Creditor Count 80**